



# Additional Application Form

UBS Asset Management (Australia) Ltd

Use this form if you are an existing investor and wish to make an additional investment.

Please complete all sections in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

## HOW TO COMPLETE THIS FORM

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**Step 1** Read and ensure you understand the Product Disclosure Statement (PDS) for the Fund you are making an investment in. The PDS is available on our website <https://www.ubs.com/au/en/assetmanagement/funds/pds-and-reports.html> or from your Financial Adviser.

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**Step 2** Please ensure that you have completed the following:

- written your investor number and investor name as it appears on your latest statement
- written the amount in Australian dollars
- selected the standing instruction box (if applicable)
- selected the payment method you would like to use
- signed the form as per the 'Acknowledgements and signatures' in **section 8**.

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**Step 3** Send your documents to us.

You can return your forms by post or email according to the details below:

You can return your forms by post to:  
UBS Asset Management (Australia) Ltd  
GPO Box 804  
Melbourne VIC 3001

Scan and email to: [ubs\\_transactions@unitregistry.com.au](mailto:ubs_transactions@unitregistry.com.au)

Please include your investor number in the subject line of your email.

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**Step 4** Transfer your application money to us.

Please refer to **section 4** 'payment of application amount'.

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## LEGAL NOTICES

UBS Asset Management (Australia) Ltd ABN [31 003 146 290], Australian Financial Services Licence [222605], is the Responsible Entity and issuer of this Additional Application Form.

Your privacy is important to us. We will treat all personal information in accordance with UBS Asset Management (Australia) Ltd's Privacy Policy. A copy of the Privacy Policy can be obtained by calling 1800 075 218 or +61 2 9324 3034 or from UBS Asset Management (Australia) Ltd's website: <https://www.ubs.com/global/en/legal/privacy/australia.html>

Last updated: 28 June 2023

## 1. INVESTOR DETAILS

Investor number

Investor name

## 2. INVESTMENT DETAILS AND DISTRIBUTION INSTRUCTIONS

Please specify the amount(s) you wish to invest. The minimum additional investment is AUD\$1,000 for each Fund.

If you are an existing unit holder in the Fund for which you are applying, the distribution choice below will override any pre-existing election.

## 3. INVESTMENT AND DISTRIBUTION INSTRUCTIONS

Fund name	APIR	Investment amount AUD\$	Income distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest as additional units
UBS Australian Share Fund	SBC0817AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies Fund	UBS0004AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies SIV Fund (Significant Investor Visa)	UBS0063AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Emerging Markets Equity Fund	UBS8018AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Microcap Fund	UBS0057AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Share Fund	SBC0822AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Future Leaders Global Small Companies Fund	UBS6028AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Property securities and infrastructure</b>				
UBS CBRE Global Infrastructure Securities Fund	UBS0064AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Global Property Securities Fund	HML0016AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Property Securities Fund	SBC0816AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Fixed income and cash</b>				
UBS Australian Bond Fund	SBC0813AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Cash Fund	SBC0811AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Short-Term Fixed Income Fund	SBC0812AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Diversified Fixed Income Fund	SBC0007AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Global Dynamic Bond Fund	UBS7109AU			
UBS Income Solution Fund	UBS0003AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Bond Fund	SBC0819AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Fund name	APIR	Investment amount AUD\$	Income distribution option (indicate (X) one option per fund)	
			Pay to my bank a/c	Reinvest as additional units
<b>Multi-asset</b>				
UBS Balanced Investment Fund	SBC0815AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Defensive Investment Fund	SBC0814AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

#### 4. PAYMENT OF APPLICATION AMOUNT

Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.

EFT
  Direct debit
  BPAY®
  Cheque

EFT	Electronic Funds Transfer
Account name:	State Street Australia Limited ACF UBS Asset Management (Australia) - Application Account
BSB:	082-036
Account number:	489387975
Your reference:	Please use the name of the investor

#### Direct debit authority - Australian bank accounts only

You can allow us to deduct your application amount directly from your nominated financial institution account by completing the direct debit request (DDR) services agreement at the end of the application form. This debit will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated. The DDR Service Agreement with National Australia Bank Limited (NAB). This agreement is designed to explain what your obligations are when undertaking a Direct Debit arrangement with us. It also details what our obligations are to you as your Direct Debit provider.

Financial institution name	Branch name
<input type="text"/>	<input type="text"/>
Account name	<input type="text"/>
BSB number	Account number
<input type="text"/>	<input type="text"/>

I/We request and authorise OneVue Fund Services Pty Ltd ABN 18 107 333 308 (User ID 411595) to arrange, through its own financial institution, a debit to the nominated account as deemed payable by our administrator.

Signature of primary account holder

Full name

Date (DD/MM/YYYY)

Signature of joint account holder (if applicable)

Full name

Date (DD/MM/YYYY)

## BPAY® - Telephone & Internet Banking

You can make your payment using telephone or internet banking.

You will need to quote the Fund biller code and your investor number when making your payment. Biller codes are listed below.

If this is a new investment, we will notify you of your account number once this is available. Please make your payment within 14 days of this notification.

Contact your bank or financial institution to make this payment from your cheque, savings, debit or transaction account.

More info: [www.bpay.com.au](http://www.bpay.com.au)

®Registered to BPAY Pty Ltd ABN 69 079 137 518

Fund name	BPAY® details
UBS Australian Bond Fund	Biller code 269340 Reference number: your investor number
UBS Australian Share Fund	Biller code 270058 Reference number: your investor number
UBS Australian Small Companies Fund	Biller code 269407 Reference number: your investor number
UBS Balanced Investment Fund	Biller code 269332 Reference number: your investor number
UBS Cash Fund	Biller code 269415 Reference number: your investor number
UBS CBRE Global Infrastructure Securities Fund	Biller code 269357 Reference number: your investor number
UBS CBRE Global Property Securities Fund	Biller code 269365 Reference number: your investor number
UBS CBRE Property Securities Fund	Biller code 269423 Reference number: your investor number
UBS Defensive Investment Fund	Biller code 270033 Reference number: your investor number
UBS Diversified Fixed Income Fund	Biller code 270017 Reference number: your investor number
UBS Emerging Markets Equity Fund	Biller code 290304 Reference number: your investor number
UBS Future Leaders Global Small Companies Fund	Biller code 399147 Reference number: your investor number
UBS Global Dynamic Bond Fund	Biller code 407445 Reference number: your investor number
UBS Income Solution Fund	Biller code 269126 Reference number: your investor number
UBS International Bond Fund	Biller code 269456 Reference number: your investor number
UBS International Share Fund	Biller code 269449 Reference number: your investor number
UBS Microcap Fund	Biller code 269431 Reference number: your investor number
UBS Short-Term Fixed Income Fund	Biller code 269357 Reference number: your investor number

## Cheque

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Please make your cheque payable to **State Street Australia Limited ACF UBS Asset Management (Australia)**. Attach the cheque with your original application forms when posting. Please cross and write 'non-negotiable' on Australian cheques only.

## 5. PRIVACY

### Keeping us informed

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Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your Investor Number when you contact us.

### Collecting and using your information

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We collect information for the following purposes:

- to process your application;
- to administer your investment and provide you with reports;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. These include credit agencies, financial advisers, fund managers or intermediaries and spouses. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

### Collecting and using your information

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We exchange your personal information with your consultant/ adviser and third parties appointed by your consultants/adviser if you complete **section 10** of the Application Form, or if you request us to, and to any other authorised representative of yours (such as your accountant or lawyer) from time to time.

In addition, we may exchange personal information about you in the following circumstances:

- you consent to the disclosure;
- with any joint investor;
- with companies that provide services to us, to our related companies, to the Fund, or on our behalf (and our related companies may also exchange personal information with these companies) - for example administration, custody, investment management, technology, identity verification, auditing, registry, mailing or printing services; or
- where required or authorised by law, which may include disclosures to the Australian Taxation Office and other Government or regulatory bodies; or
- with organisations related to us whether in Australia or any overseas jurisdiction ('Related Companies').

In some cases, the types of organisations referred to above to whom we will disclose your personal information may be located in Switzerland, China, Hong Kong, India, New Zealand, Poland, Singapore, United Kingdom, United States of America and other countries.

## 6. FOREIGN ACCOUNT TAX COMPLIANCE ACT AND COMMON REPORTING STANDARD (FATCA/CRS)

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit [www.ato.gov.au](http://www.ato.gov.au).

If you are unsure of any of how our due diligence and reporting obligations under the FATCA/CRS may affect you, please contact a legal or accounting professional.

## 7. ACKNOWLEDGEMENTS AND SIGNATURES

When you apply to invest, you (the applicant) are telling us:

- you have received, read and understood the current PDS,
- monies deposited are not associated with crime, money laundering or terrorism financing, nor will monies received from your account have any such association,
- you are not bankrupt or a minor, and
- you agree to be bound by the constitution of the Fund and the PDS as supplemented, replaced or re-issued from time to time.

### Collecting and using your information

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**Individual** - where the investment is in one name, the account holder must sign.

**Joint Holding** - where the investment is in more than one name, all of the account holders must sign.

**Companies** - where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

**Trust** - the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** - if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living. **Important information**

- nothing in this form is advice and 'help' is general guidance only. Seek professional advice to be sure of your answers
- it is a condition of investing that you keep your details (including tax detail) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and emailing or posting it to our Administrator.

By completing and signing this form:

- you represent having read and understood this form
- you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you represent your tax information stated on the Application Form or Tax Information Form you have previously completed remains valid. Otherwise, you must provide an updated Tax Information Form together with this form
- you undertake that if information in this form changes, you will tell us within 30 days
- you agree to notify UBS Asset Management (Australia) Ltd of any changes to your tax residency or that of any beneficial owners or controlling person.

**Signature of investor 1, director or authorised signatory**

Signature

Date (DD/MM/YY)  /  /

Full name

**Company officer (please indicate company capacity)**

- |                                     |                          |
|-------------------------------------|--------------------------|
| Director                            | <input type="checkbox"/> |
| Sole director and company secretary | <input type="checkbox"/> |
| Authorised signatory                | <input type="checkbox"/> |

**Signature of investor 2, director/company secretary or authorised signatory**

Signature

Date (DD/MM/YY)  /  /

Full name

**Company officer (please indicate company capacity)**

- |                                     |                          |
|-------------------------------------|--------------------------|
| Director                            | <input type="checkbox"/> |
| Sole director and company secretary | <input type="checkbox"/> |
| Authorised signatory                | <input type="checkbox"/> |