



# Redemption Request

UBS Asset Management (Australia) Ltd

Use this form if you are an existing investor in one of the UBS Asset Management (Australia) Ltd Funds and wish to make a redemption. If you are an existing investor who has invested through an IDPS or external broker you will need to contact that provider to change your details.

Please complete all sections in BLOCK letters, using a black pen. If you make an error while completing this form, do not use correction fluid. Cross out your mistakes and initial your changes.

## HOW TO COMPLETE THIS FORM

### Step 1 Please ensure that you have completed the following:

- written your investor number and investor name as it appears on your latest statement
- written which Fund this instruction applies to
- written the amount in either Australian dollars or units if you are only making a partial redemption
- provided your bank account details
- signed the form as per the 'Acknowledgements and signatures' in **section 6**.

### Step 2 Send your documents to us.

You can return your forms by post or email according to the details below:

You can return your forms by post to:  
UBS Asset Management (Australia) Ltd  
GPO Box 804  
Melbourne VIC 3001

Scan and email to: [ubs\\_transactions@unitregistry.com.au](mailto:ubs_transactions@unitregistry.com.au)

Please include your investor number in the subject line of your email.

## LEGAL NOTICES

UBS Asset Management (Australia) Ltd ABN [31 003 146 290], Australian Financial Services Licence [222605], is the Responsible Entity and issuer of this Redemption Request Form.

Your privacy is important to us. We will treat all personal information in accordance with UBS Asset Management (Australia) Ltd's Privacy Policy. A copy of the Privacy Policy can be obtained by calling 1800 075 218 or +61 2 9324 3034 or from UBS Asset Management (Australia) Ltd's website: <https://www.ubs.com/global/en/legal/privacy/australia.html>

Last updated: 28 June 2023

## 1. INVESTOR DETAILS

Investor number

Investor name

Contact number (include country code)

Email address

**Please note:** the above contact details are required for transaction confirmation purposes. Any updates to your account details will require a Change of Details form.

## 2. REDEMPTION DETAILS

Please indicate if you are making a full redemption or a partial redemption.

If you are making a partial redemption please specify the number of units or dollar amount you wish to redeem.

Fund name	APIR	Withdrawal amount AUD\$	Redemption option (indicate (X) one option per fund)	
			Full redemption	Partial redemption units
<b>Equities</b>				
UBS Australian Share Fund	SBC0817AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies Fund	UBS0004AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Australian Small Companies SIV Fund (Significant Investor Visa)	UBS0063AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Emerging Markets Equity Fund	UBS8018AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Microcap Fund	UBS0057AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Share Fund	SBC0822AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Future Leaders Global Small Companies Fund	UBS6028AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Property securities and infrastructure</b>				
UBS CBRE Global Infrastructure Securities Fund	UBS0064AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Global Property Securities Fund	HML0016AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS CBRE Property Securities Fund	SBC0816AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Fund name	APIR	Withdrawal amount AUD\$	Redemption option (indicate (X) one option per fund)	
			Full redemption	Partial redemption units
<b>Fixed income and cash</b>				
UBS Australian Bond Fund	SBC0813AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Cash Fund	SBC0811AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Short-Term Fixed Income Fund	SBC0812AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Diversified Fixed Income Fund	SBC0007AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Global Dynamic Bond Fund	UBS7109AU			
UBS Global Credit Fund	UBS0103AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Income Solution Fund	UBS0003AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS International Bond Fund	SBC0819AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Multi-asset</b>				
UBS Balanced Investment Fund	SBC0815AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
UBS Defensive Investment Fund	SBC0814AU	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

### 3. PAYMENT OF PROCEEDS

Redemption proceeds will be paid into the bank account below which must be in the name of the investor(s). If a bank account is not provided below, we will make payments to the bank account you have previously provided. To avoid fraudulent requests we will not make any payments into third party bank accounts. There may be a delay in finalising payment to ensure that the redemption request is genuine.

#### Australian bank account details

Please provide the Australian bank account details in order to receive your distribution payments and/or future redemption payments. Payments will only be made to a bank account held in the name of the investor(s). Payments will not be made into third party bank accounts.

Financial institution name

Branch name

Account name

BSB number

Account number

## 5. PRIVACY

### Keeping us informed

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Our records about you are important. Please inform us in writing (must be signed by the authorised signatories) of any changes to details which you have given us. This may be a new postal address, a change of name or new financial institution account details for distribution payments.

We will send you written confirmation of any changes. Please quote your Investor Number when you contact us.

### We collect information for the following purposes:

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- to process your application;
- to administer your investment and provide you with reports;
- to monitor and improve the quality of service provided to you; and
- to comply with regulatory or legal requirements, including the Corporations Act, the Proceeds of Crime Act, the Financial Transaction Reports Act, the Taxation Administration Act and the Anti-Money Laundering and Counter-Terrorism Financing Act.

We also ask you for some personal details so that we, and our related companies, can keep in touch with you and tell you on an ongoing basis about our other products and services that could be useful to you. We may do this by telephone, electronic messages (eg. email), online and other means. Please contact us if you do not wish your details to be used for marketing purposes.

We may gather information about you from a third party. These include credit agencies, financial advisers, fund managers or intermediaries and spouses. We may also collect details of your interactions with us and our products and services (including from our records of any telephone, email and online interactions).

If you provide someone else's personal information to us, you must ensure that they first agree on the basis of this privacy section.

### Disclosing your information

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We exchange your personal information with your consultant/ adviser and third parties appointed by your consultants/adviser if you complete **section 8 and 9** of the Application Form, or if you request us to, and to any other authorised representative of yours (such as your accountant or lawyer) from time to time.

In addition, we may exchange personal information about you in the following circumstances:

- you consent to the disclosure;
- with any joint investor;
- with companies that provide services to us, to our related companies, to the Fund, or on our behalf (and our related companies may also exchange personal information with these companies) - for example administration, custody, investment management, technology, identity verification, auditing, registry, mailing or printing services; or
- where required or authorised by law, which may include disclosures to the Australian Taxation Office and other Government or regulatory bodies; or
- with organisations related to us whether in Australia or any overseas jurisdiction ('Related Companies').

In some cases, the types of organisations referred to above to whom we will disclose your personal information may be located in Switzerland, China, Hong Kong, India, New Zealand, Poland, Singapore, United Kingdom, United States of America and other countries.

## 6. ACKNOWLEDGMENTS AND SIGNATURES

By signing this form I/we agree that OneVue and the unit registry are entitled to act on the instructions, without further enquiry and these instructions supersede and have priority over all previous instructions in respect to my/our investment.

### Signing instructions

**Individual** - where the investment is in one name, the account holder must sign.

**Joint Holding** - where the investment is in more than one name, all of the account holders must sign.

**Companies** - where the company has a sole director who is also the sole company secretary, this form must be signed by that person. If the company (pursuant to section 204A of the Corporations Act 2001) does not have a company secretary, a sole director can also sign alone. Otherwise this form must be signed by a director jointly with either another director or a company secretary. Please indicate the capacity in which the form is signed.

**Trust** - the trustee(s) must sign this form. Trustee(s) signing on behalf of the trust confirm that the trustee(s) is/are acting in accordance with such designated powers and authority under the trust deed.

**Power of Attorney** - if you have not already lodged the Power of Attorney with us, please attach an original certified copy of the Power of Attorney document that includes Certificate of Witness and Statement of Acceptance and original Certified Identification Document of the Power of Attorney. I/We attest that the Power of Attorney has not been rescinded or revoked and that the Donor is still living.

### Signature of investor 1, director or authorised signatory

Signature

Date (DD/MM/YY)   /   /

Full name

### Company officer (please indicate company capacity)

Director

Sole director and company secretary

Authorised signatory

### Signature of investor 2, director/company secretary or authorised signatory

Signature

Date (DD/MM/YY)   /   /

Full name

### Company officer (please indicate company capacity)

Director

Sole director and company secretary

Authorised signatory