## INITIAL End Date:1/19/2024 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date:1/22/2024 Daily Segregation - Secured Amounts

	Foreign Futures and Foreign Options Secured Amounts	
	Amount required to be set aside pursuant to law, rule or regulation of a foreign	<u>0</u> [7305]
	government or a rule of a self-regulatory organization authorized thereunder	
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	
	A. Cash	<u>1,383,719,199</u> [7315]
	B. Securities (at market)	<b>542,181,213</b> [7317]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	-36,494,014 [7325]
3.	Exchange traded options	
	a. Market value of open option contracts purchased on a foreign board of trade	<u>41,480</u> [7335]
	b. Market value of open contracts granted (sold) on a foreign board of trade	<u>0</u> [7337]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	1,889,447,878 [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<b>1,448,813</b> [7351]
	Less: amount offset by customer owned securities	-1,360,236 [7352] 88,577 [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity	1,889,536,455 [7355]
	Method (add lines 4 and 5)	
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line	<u>1,889,536,455</u> [7360]
	6.	
	FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS	
1.	Cash in banks	
	A. Banks located in the United States	<u>327,176,466</u> [7500]
	B. Other banks qualified under Regulation 30.7	<u>0</u> [7520] <u>327,176,466</u> [7530]
2.	Securities	
	A. In safekeeping with banks located in the United States	<b>298,459,651</b> [7540]
	B. In safekeeping with other banks qualified under Regulation 30.7	<u>0</u> [7560] <u>298,459,651</u> [7570]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>0</u> [7580]
	B. Securities	<u>0</u> [7590]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [7600]
	D. Value of long option contracts	<u>0</u> [7610]
	E. Value of short option contracts	<u>0</u> [7615] <u>0</u> [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	
	A. Cash	<u>0</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [7660]
	D. Value of long option contracts	<u>0</u> [7670]
	E. Value of short option contracts	<u>0</u> [7675] <u>0</u> [7680]
5.	Amounts held by members of foreign boards of trade	
	A. Cash	<u>1,180,820,856</u> [7700]
	B. Securities	<b>243,721,561</b> [7710]
	C. Unrealized gain (loss) on open futures contracts	<u>-36,494,014</u> [7720]
	D. Value of long option contracts	<u>41,480</u> [7730]
	E. Value of short option contracts	<u>0</u> [7735] <u>1,388,089,883</u> [7740]
6.	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [7760]
7.	Segregated funds on hand	<u>0</u> [7765]
8.	Total funds in separate section 30.7 accounts	<u>2,013,726,000</u> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement	<u>124,189,545</u> [7380]
	Page 1 from Line 8)	
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<u>75,000,000</u> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<b>49,189,545</b> [7785]

Submit Date: 1/22/22/24         Daily Segregation - Segregation Statement         SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)         1.       Net ledger balance         A. Cash       1.234,087.010 [7010]         B. Securities (at market)       4.2724.114.509 [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       1.471.446.801 [7030]         3.       Exchange trade dptions       202.164.513 [7032]         4.       Add market value of open option contracts gurchased on a contract market       1.82.334.748 [7033]         5.       Accounts liquidating to a deficit and accounts divide (old) on a contract market       1.92.347.48 [7033]         6.       Amount required to be segregated (add lines 4 and 5)       6.939.485.116 [7060]         7.       Deposited in segregated funds bank accounts       10.515.986 [7047] 6.851 [7070]         8.       Securities held for particular customers or option customers in lieu of cash (at market)       0.[7080]         9.       Securities held for particular customers or option customers in lieu of cash (at market)       0.[7110]         9.       Securities held for particular customers or option customers in lieu of cash (at market)       0.[7110]         10.       Securities representing investments of customers' funds (at market)       0.[7110]         10. <td< th=""><th>Ei Fi Fe</th><th>IITIAL nd Date:1/19/2024 rm Name:UBS Securities LLC prm:Daily Seg - FOCUS II</th><th></th></td<>	Ei Fi Fe	IITIAL nd Date:1/19/2024 rm Name:UBS Securities LLC prm:Daily Seg - FOCUS II	
SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)         1.       Net ledger balance         A. Cash       1.234.087.010 [7010]         B. Securities (at market)       4.274.114.509 [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       1.471.446.981 [7030]         3.       Exchange traded options       1.423.347.48 [7033]         4.       Add market value of open option contracts granted (sold) on a contract market       202.164.513 [7032]         5.       Accounts liquidating to a deficit and accounts with       5.999.4752.656 [7040]         6.       Met equity (deficit) (add lines 1, 2 and 3)       5.999.4762.656 [7047]         7.       Deposited in segregated (add lines 4 and 5)       5.999.4762.657 [7047]         8.       Securities representing investments of customers' funds (at market)       10.519.996 [7047] 6.851 [7050]         7.       Deposited in segregated funds bank accounts       48.462.732 [7070]       10.700]         8.       Securities representing investments of customers' funds (at market)       10.7100]       10.7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       3.99.192.745 [7120]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       2.278.898.475 [7100]         10.			
1.       Net ledger balance         A. Cash       1.234.087.010 [7010]         B. Securities (at market)       4.274.114.509 [7020]         2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       1.471.446.981 [7030]         3.       Exchange traded options       a.contract market       1.234.087.010 [7010]         4.       Add market value of open option contracts granted (sold) on a contract market       1.92.164.513 [7032]         5.       Deduct market value of open option contracts granted (sold) on a contract market       1.92.284.718 [7033]         6.       Accounts liquidating to a deficit and accounts with       6.999.476.265 [7040]         6.       Amount required to be segregated (add lines 4 and 5)       6.999.485.116 [7060]         7.       Deposited in segregated funds bank accounts       4.24.221.72 [7070]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.398.475 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.398.475 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       2.278.398.475 [7100]         10.       Exchange traded options       1.823.4748 [7133]         11.       Net equifues with other PCMS       1.7140]	U		
A Cash       1234.087.010 [7010]         B. Securities (at market)       4274.114.609 [7020]         2. Net unrealized profit (loss) in open futures contracts traded on a contract market       1.471.446.981 [7030]         3. Exchange traded options       202.164.513 [7032]         B. Deduct market value of open option contracts parchased on a contract market       202.164.513 [7032]         B. Deduct market value of open option contracts granted (sold) on a contract market       202.164.513 [7032]         Controls liquidating to a deficit and accounts with       6.999.478.265 [7040]         C. Arcounts liquidating to a deficit and accounts with       10.522.847 [7045]         Less: amount offset by customer securities       -10.515.996 [7047] 6.851 [7050]         FUNDS IN SEGREGATED ACCOUNTS       5.999.485.16 [7060]         7. Deposited in segregated funds bank accounts       468.462.732 [7070]         8. Securities representing investments of customers' funds (at market)       9 [7080]         9. Margins on deposit with derivatives clearing organizations of contract markets       2278.988.475 [7100]         9. Net settlement from (to) derivatives clearing organizations of contract markets       299.192.745 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       299.192.745 [7130]         10. Exchange traded option       9 [7140]       9 [7140]         11. Net equities with	4		
B. Securities (at market)     4274,114,509     [7020]       2.     Net unrealized profit (loss) in open futures contracts traded on a contract market     1.471,446,991     [7030]       3.     Exchange traded options     4.4dd market value of open option contracts purchased on a contract market     202,164,513     [7032]       4.     Add market value of open option contracts granted (sold) on a contract market     202,164,513     [7033]       5.     Accounts liquidating to a deficit and accounts with debit balances - gross amount     10,522,847     [7045]       1.     Less: amount offset by customer securities     10,512,851     [7050]       6.     Amount required to be segregated (add lines 4 and 5)     5,999,485,116     [7060]       7.     Deposited in segregated funds bank accounts     468,462,732     [7070]       8.     Securities representing investments of customers' funds (at market)     0     [7080]       0.     Securities representing investments of customers' funds (at market)     0     [7100]       8.     Margins on deposit with derivatives clearing organizations of contract markets     2278,898,475     [7100]       9.     Net settlement from (to) derivatives clearing organizations of contract markets     299,192,745     [7130]       10.     Exchange traded options     222,898,475     [7100]       10.     Exchange traded options     2278,898,	1.		<b>4 334 087 040</b> [7040]
2.       Net unrealized profit (loss) in open futures contracts traded on a contract market       1471.446.981 [7030]         3.       Exchange traded options       14271.846.981 [7032]         4.       Add market value of open option contracts purchased on a contract market       182.334.748 [7033]         5.       Accounts liquidating to a deficit and accounts with       192.164.513 [7042]         6.       Amount required to be segregated (add lines 4 and 5)       5.999.478.265 [7047] 6.851 [7050]         7.       Deposited in segregated (add lines 4 and 5)       5.999.485.116 [7060]         7.       Deposited in segregated funds bank accounts       4.         8.       Securities representing investments of customers' funds (at market)       0 [7080]         7.       Deposited in segregated funds bank accounts       468.462.732 [7070]         8.       Securities representing investments of customers in lieu of cash (at market)       0 [7080]         7.       Deposited in segregated funds bank accounts       4278.898.475 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       22.78.898.475 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259.190.305 [7130]         10.       Exchange traded options       22.164.513 [7132]         8.       Value of open sho			
3.       Exchange traded options         A. Add market value of open option contracts granted (sold) on a contract market       202.164.513 [7032]         4.       Net equity (deficit) (add lines 1, 2 and 3)       5.         5.       Accounts liquidating to a deficit and accounts with debit balances - gross amount       10.522.847 [7045]         1.       Less: amount offset by customer securities       -10.515.998 [7047] [5.851 [7050]         6.       Amount required to be segregated (add lines 4 and 5)       5.999.485.116 [7060]         7.       Deposited in segregated funds bank accounts       468.462.732 [7070]         6.       Securities nepresenting investments of customers' funds (at market)       0 [7080]         7.       Deposited for particular customers or option customers in lieu of cash (at market)       0 [7080]         8.       Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.998.475 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       2.278.998.475 [7130]         10.       Exchange traded options       2.278.998.475 [7130]         11.       Net settlement from (to) derivatives clearing organizations of contract markets       2.99.190.305 [7130]         10.	2		
A. Add market value of open option contracts purchased on a contract market       202,164,513 [7032]         B. Deduct market value of open option contracts granted (sold) on a contract market       132,334,748 [7033]         A. Ket equity (deficit) (add lines 1, 2 and 3)       5         Accounts liquidating to a deficit and accounts with       105,222,847 [7045]         debit balances - gross amount       10,522,347 [7045]         Less: amount offset by customer securities       -10,515,996 [7047] [5,851 [7050]         6. Amount required to be segregated (add lines 4 and 5)       5,999,445,118 [7060]         FUNDS IN SEGREGATED ACCOUNTS       -10,515,996 [7047] [6,851 [7070]         7. Deposited in segregated funds bank accounts       468,462,732 [7070]         8. Securities representing investments of customers' funds (at market)       0 [7080]         7. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7100]         6. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       3,899,192,745 [7120]         market)       0       [7140]         9. Net settlement from (to) derivatives clearing organizations of contract markets       299,193,513 [7130]         10. Exchange traded options       -102,334,748 [7133]         11. Net equities with other			<u>1,471,440,961</u> [7030]
B. Deduct market value of open option contracts granted (sold) on a contract market       -182,334,748 [7033]         4.       Net equity (deficit) (add lines 1, 2 and 3)       6.999,478,265 [7040]         5.       Accounts liquidating to a deficit and accounts with debit balances - gross amount       10,522,847 [7045]         Less: amount offset by customer securities       -10,515,996 [7047] 6,851 [7050]       6.999,485,116 [7060]         FUNDS IN SEGREGATED ACCOUNTS       6.999,485,116 [7060]       6.999,485,116 [7060]         7.       Deposited in segregated funds bank accounts       468,462,732 [7070]       6.999,485,116 [7080]         6. Securities representing investments of customers' funds (at market)       0 [7080]       7.000]         7.       Deposited in segregated funds bank accounts       2278,898,475 [7100]       8.         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278,898,475 [7100]       6.7100]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.91,90,305 [7130]       10.         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]       10.         10.       Exchange traded options       -182,334,748 [7133]       11.       Net equities with other FCMs       202,164,513 [7132]       182,334,748 [7133]	0.	· · · · · · · · · · · · · · · · · · ·	<b>202 164 513</b> [7032]
<ul> <li>Net equity (deficit) (add lines 1, 2 and 3)</li> <li>Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer securities</li> <li>Amount required to be segregated (add lines 4 and 5)</li> <li>FUNDS IN SEGREGATED ACCOUNTS</li> <li>Deposited in segregated funds bank accounts</li> <li>A Cash</li> <li>B. Securities representing investments of customers in lieu of cash (at market)</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>B. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>B. Securities representing investments of customers in lieu of cash (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>Z278.898.475 [7120]</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>Z59.190.305 [7130]</li> <li>Exchange traded options</li> <li>A Value of open long option contracts</li> <li>J02.164.513 [7132]</li> <li>Net equilies with other FCMs</li> <li>A Net equilies with other FCMs</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Q [7140]</li> <li>Securities representing investments of customers in lieu of cash (at market)</li> <li>Q [7140]</li> <li>Securities representing investments of customers in lieu of cash (at market)</li> <li>Q [7140]</li> <li>Securitie</li></ul>			
<ul> <li>Accounts liquidating to a deficit and accounts with debit balances - gross amount Less: amount offset by customer securities</li> <li>Amount required to be segregated (add lines 4 and 5) FUNDS IN SEGREGATED ACCOUNTS</li> <li>Deposited in segregated funds bank accounts A. Cash</li> <li>Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets A. Cash</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>G. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Margins on deposit with derivatives clearing organizations of contract markets</li> <li>A. Cash</li> <li>Exchange traded options</li> <li>A. Value of open short option contracts</li> <li>A. Value of open short option contracts</li> <li>A. Net liquidating equity</li> <li>B. Securities with other FCMs</li> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>I. Net equilies with other FCMs</li> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>I. Net equilies with other FCMs</li> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers in lieu of cash (at market)</li> <li>I. Total amount in segregation (add line</li></ul>	4.		
debit balances - gross amount       10.522.847 [7045]         Less: amount offset by customer securities       -10.515.998 [7047] 5.851 [7050]         6.       Amount required to be segregated (add lines 4 and 5)       6.999.485.116 [7060]         FUNDS IN SECREGATED ACCOUNTS       -         7.       Deposited in segregated funds bank accounts       -         A. Cash       468.462.732 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       2.278.898.475 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities representing investments of customers in lieu of cash (at market)       0 [7110]         C. Securities representing investments of customers in lieu of cash (at market)       0 [7110]         C. Securities representing organizations of contract markets       259.190.305 [7130]         10.       Exchange traded options       202.164.513 [7132]         B. Value of open long option contracts       202.164.513 [7132]         B. Value of open short option contracts       202.164.513 [7132]         A. Net liquidating equity       0 [7140]         B. Securities repres			
Less: amount offset by customer securities       -10.515.996 [7047] 6.851 [7050]         6.       Amount required to be segregated (add lines 4 and 5)       6.999.485.116 [7060]         FUNDS IN SEGREGATED ACCOUNTS       5.999.485.116 [7060]         7.       Deposited in segregated funds bank accounts       468.462.732 [7070]         8.       A. Cash       9.07080]         9.       Securities representing investments of customers' funds (at market)       0 [7080]         7.       Deposited in segregated funds bank accounts       468.462.732 [7070]         8.       Securities nel for particular customers or option customers in lieu of cash (at market)       0 [7080]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.898.475 [7100]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259.190.305 [7130]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259.190.305 [7130]         10.       Exchange traded options       182.334.748 [7133]         11.       Net equities with other FCMs       0 [7140]         A. Net liquidating equity       0 [7140]       182.334.748 [7133]         12.       Segregated funds on hand       0 [7150]         13.       Total amount in segregation (add lines 7 thr			<b>10,522,847</b> [7045]
FUNDS IN SEGREGATED ACCOUNTS       Interview (1997)         7.       Deposited in segregated funds bank accounts       468.462.732 [7070]         B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7080]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.898.475 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         S. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         D. Exchange traded options       202.164.513 [7132]         A. Value of open long option contracts       202.164.513 [7132]         B. Value of open short option contracts       -182.334.748 [7133]         11.       Net equities with other FCMs       0 [7140]         A. Net liquidating equity       0 [7140]         B. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7150]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7160		Less: amount offset by customer securities	-10,515,996 [7047] 6,851 [7050]
<ul> <li>Deposited in segregated funds bank accounts <ul> <li>A. Cash</li> <li>G. Securities representing investments of customers' funds (at market)</li> <li>Q[7080]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>E. Cash</li> <li>E. Securities representing investments of customers' funds (at market)</li> <li>Q[7100]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q[7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 3.899.192.745 [7100]</li> <li>B. Securities held for particular customers or option customers in lieu of cash (at 3.899.192.745 [7120]</li> <li>market)</li> </ul> 9. Net settlement from (to) derivatives clearing organizations of contract markets <ul> <li>259.190.305 [7130]</li> </ul> 10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>B. Value of open short option customers or option customers in lieu of cash (at market)</li> <li>Q[7140]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q[7140]</li> <li>B. Securities representing investments of customers in lieu of cash (at g[7140]</li> <li>B. Securities representing investments of customers in lieu of cash (at g[7140]</li> <li>B. Securities held for particular customers or option customers in lieu of cash (at g[7170]</li> <li>market)</li> </ul> 12. Segregated funds on hand <ul> <li>Q[7150]</li> <li>Total amount in segregation (add lines 7 through 12)</li> <li>T. Segregated funds on hand</li> <li>Q[7150]</li> <li>Management Target Amount for Excess funds in segregation</li> <li>Management Target Amount for Excess funds in segregation</li> <li>Management Target Amount for Excess funds in segregation over (under) Management Target Amount</li> <l< th=""><th>6.</th><th>Amount required to be segregated (add lines 4 and 5)</th><th><u>6,999,485,116</u> [7060]</th></l<></ul></li></ul>	6.	Amount required to be segregated (add lines 4 and 5)	<u>6,999,485,116</u> [7060]
A. Čash       468.462.732       [7070]         B. Securities representing investments of customers' funds (at market)       0       [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       374.921.764       [7090]         8.       Margins on deposit with derivatives clearing organizations of contract markets       2.278.898.475       [7100]         B. Securities representing investments of customers' funds (at market)       0       [7110]       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7110]       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7110]       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7130]       0         9.       Net settlement from (to) derivatives clearing organizations of contract markets       202.164.513       [7130]         10.       Exchange traded options       -182.334.748       [7133]         11.       Net equities with other FCMs       182.334.748       [7140]         R. Securities representing investments of customers' funds (at market)       0       [7140]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7140] <th></th> <td>FUNDS IN SEGREGATED ACCOUNTS</td> <td></td>		FUNDS IN SEGREGATED ACCOUNTS	
B. Securities representing investments of customers' funds (at market)       0 [7080]         C. Securities held for particular customers or option customers in lieu of cash (at market)       374,921,764 [7090]         8. Margins on deposit with derivatives clearing organizations of contract markets       2.278,898,475 [7100]         A. Cash       2.278,000,000         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at 3.899,192,745 [7120]         market)       0 [7130]         9. Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]         10. Exchange traded options       -182,334,748 [7133]         A. Value of open long option contracts       202,164,513 [7132]         B. Value of open short option contracts       -182,334,748 [7133]         11. Net equities with other FCMs       0 [7140]         A. Net liquidating equity       0 [7140]         B. Securities representing investments of customers in lieu of cash (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7140]         B. Securities representing investments of customers in lieu of cash (at market)       0 [7170]         market)       0 [7150]       1730]         12. Segregated fu	7.		
C. Securities held for particular customers or option customers in lieu of cash (at market)       374,921,764 [7090]         8. Margins on deposit with derivatives clearing organizations of contract markets       2,278,898,475 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at 3,899,192,745 [7120]       market)         9. Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]         10. Exchange traded options       202,164,513 [7132]         A. Value of open long option contracts       202,164,513 [7132]         B. Value of open short option contracts       202,164,513 [7132]         A. Net liquidating equity       0 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7140]         C. Securities held for particular customers or option customers in lieu of cash (at gl [7170]         A. Net liquidating equity       0 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7140]         C. Securities held for particular customers or option customers in lieu of cash (at gl [7170]       10         market)       0 [7140]       2 [7170]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particul			
market)       8.       Margins on deposit with derivatives clearing organizations of contract markets         A. Cash       2.278,898,475 [7100]         B. Securities representing investments of customers' funds (at market)       0 [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7110]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]         10.       Exchange traded options       202,164,513 [7132]         A. Value of open long option contracts       202,164,513 [7132]         B. Value of open short option contracts       -182,334,748 [7133]         11.       Net equities with other FCMs       0 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7140]         B. Securities representing investments of customers in lieu of cash (at 0 [7170]       0.27140]         B. Securities representing investments of customers in lieu of cash (at 0 [7170]       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at 0 [7150]       0 [7150]         13.       Total amount in segregation (add lines 7 through 12)       7.300,495,786 [7180]         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13)       301,010,670 [7190]         15.       Management Target Amount fo			
<ul> <li>8. Margins on deposit with derivatives clearing organizations of contract markets <ul> <li>A. Cash</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7110]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 3.899,192,745 [7120] market)</li> </ul> </li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>259,190,305 [7130]</li> <li>10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>A. Value of open short option contracts</li> <li>B. Value of open short option contracts</li> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7140]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7140]</li> <li>B. Securities held for particular customers or option customers in lieu of cash (at 0 [7140]</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>Q [7140]</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at 0 [7170]</li> <li>market)</li> <li>I. Net equities on hand</li> <li>Q [7150]</li> <li>I. Total amount in segregation (add lines 7 through 12)</li> <li>I. Sagengated funds on hand</li> <li>I. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>I. Management Target Amount for Excess funds in segregation</li> <li>I. Sucess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>I. Sucess (deficiency) funds in segregation over (under) Management Target Amount</li> </ul> </li> </ul>			<u>374,921,764</u> [7090]
A. Cash       2,278,898,475       [7100]         B. Securities representing investments of customers' funds (at market)       0       [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7110]         9.       Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305       [7130]         10.       Exchange traded options       202,164,513       [7132]         A. Value of open long option contracts       202,164,513       [7133]         11.       Net equities with other FCMs       -182,334,748       [7133]         11.       Net equities representing investments of customers' funds (at market)       0       [7140]         B. Securities representing investments of customers' funds (at market)       0       [7140]         B. Securities representing investments of customers in lieu of cash (at market)       0       [7140]         B. Securities representing investments of customers in lieu of cash (at market)       0       [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7170]         II.       Net equities with other FCMs       0       [7160]       0         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7160]	0		
B. Securities representing investments of customers' funds (at market)       0       [7110]         C. Securities held for particular customers or option customers in lieu of cash (at market)       3.899,192,745       [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305       [7130]         10. Exchange traded options       4. Value of open long option contracts       202,164,513       [7132]         B. Value of open short option contracts       -182,334,748       [7133]         11. Net equities with other FCMs       0       [7140]         B. Securities representing investments of customers' funds (at market)       0       [7140]         B. Securities representing investments of customers' funds (at market)       0       [7140]         B. Securities representing investments of customers' funds (at market)       0       [7170]         B. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7170]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0       [7170]         12. Segregated funds on hand       0       [7150]       [7130]         13. Total amount in segregation (add lines 7 through 12)       7.300.495.786       [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       301.010.670 <th>0.</th> <th></th> <th>2 279 909 475 [7100]</th>	0.		2 279 909 475 [7100]
C. Securities held for particular customers or option customers in lieu of cash (at market)       3.899,192.745 [7120]         9. Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]         10. Exchange traded options       202,164,513 [7132]         A. Value of open long option contracts       202,164,513 [7132]         B. Value of open short option contracts       -182,334,748 [7133]         11. Net equities with other FCMs       0 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at 0 [7170]         market)       0 [7150]         12. Segregated funds on hand       0 [7150]         13. Total amount in segregation (add lines 7 through 12)       7.300,495,786 [7180]         14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)       301,010,670 [7190]         15. Management Target Amount for Excess funds in segregation       150,000,000 [7194]         16. Excess (deficiency) funds in segregation over (under) Management Target Amount       151,010,670 [7198]			
<ul> <li>market)</li> <li>9. Net settlement from (to) derivatives clearing organizations of contract markets</li> <li>259,190,305 [7130]</li> <li>10. Exchange traded options <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>J. Value of open short option contracts</li> <li>J. Value of open short option contracts</li> <li>J. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>I. Segregated funds on hand</li> <li>I. Total amount in segregation (add lines 7 through 12)</li> <li>I. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>I. Management Target Amount for Excess funds in segregation over (under) Management Target Amount</li> </ul> </li> </ul></li></ul>			
9.       Net settlement from (to) derivatives clearing organizations of contract markets       259,190,305 [7130]         10.       Exchange traded options       202,164,513 [7132]         10.       A. Value of open long option contracts       202,164,513 [7132]         11.       Net equities with other FCMs       -182,334,748 [7133]         11.       Net iquidating equity       0 [7140]         B. Securities representing investments of customers' funds (at market)       0 [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market)       0 [7170]         market)       0       [7130]         12.       Segregated funds on hand       0 [7150]         13.       Total amount in segregation (add lines 7 through 12)       7,300,495,786 [7180]         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13)       301,010,670 [7190]         15.       Management Target Amount for Excess funds in segregation       150,000,000 [7194]         16.       Excess (deficiency) funds in segregation over (under) Management Target Amount       151,010,670 [7198]			<u>0,000,102,140</u> [/ 120]
<ol> <li>Exchange traded options         <ul> <li>A. Value of open long option contracts</li> <li>B. Value of open short option contracts</li> <li>Securities with other FCMs</li></ul></li></ol>	9.		<b>259,190,305</b> [7130]
<ul> <li>B. Value of open short option contracts</li> <li>11. Net equities with other FCMs <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>12. Segregated funds on hand</li> <li>I2. Segregated funds on hand</li> <li>I3. Total amount in segregation (add lines 7 through 12)</li> <li>I4. Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>I5. Management Target Amount for Excess funds in segregation</li> <li>I51. Output for Excess funds in segregation over (under) Management Target Amount</li> </ul> </li> </ul>	10.		·
<ol> <li>Net equities with other FCMs         <ul> <li>A. Net liquidating equity</li> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> </ul> </li> <li>Segregated funds on hand         <ul> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>Management Target Amount for Excess funds in segregation</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> </ul> </li> </ol>		A. Value of open long option contracts	<b>202,164,513</b> [7132]
A. Net liquidating equity <b>Q</b> [7140]         B. Securities representing investments of customers' funds (at market) <b>Q</b> [7160]         C. Securities held for particular customers or option customers in lieu of cash (at market) <b>Q</b> [7170]         12.       Segregated funds on hand <b>Q</b> [7150]         13.       Total amount in segregation (add lines 7 through 12) <b>7,300,495,786</b> [7180]         14.       Excess (deficiency) funds in segregation (subtract line 6 from line 13) <b>301,010,670</b> [7190]         15.       Management Target Amount for Excess funds in segregation <b>150,000,000</b> [7194]         16.       Excess (deficiency) funds in segregation over (under) Management Target Amount <b>151,010,670</b> [7198]		B. Value of open short option contracts	<u>-182,334,748</u> [7133]
<ul> <li>B. Securities representing investments of customers' funds (at market)</li> <li>C. Securities held for particular customers or option customers in lieu of cash (at market)</li> <li>Segregated funds on hand</li> <li>Total amount in segregation (add lines 7 through 12)</li> <li>Total amount in segregation (subtract line 6 from line 13)</li> <li>Excess (deficiency) funds in segregation (subtract line 6 from line 13)</li> <li>Management Target Amount for Excess funds in segregation</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> <li>Excess (deficiency) funds in segregation over (under) Management Target Amount</li> </ul>	11.		
C. Securities held for particular customers or option customers in lieu of cash (at market) <b>0</b> [7170]12.Segregated funds on hand <b>0</b> [7150]13.Total amount in segregation (add lines 7 through 12) <b>7.300,495,786</b> [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13) <b>301,010,670</b> [7190]15.Management Target Amount for Excess funds in segregation <b>150,000,000</b> [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount <b>151,010,670</b> [7198]			
market)12.Segregated funds on hand0 [7150]13.Total amount in segregation (add lines 7 through 12)7.300,495,786 [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)301,010,670 [7190]15.Management Target Amount for Excess funds in segregation150,000,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount151,010,670 [7198]			
12.Segregated funds on hand0 [7150]13.Total amount in segregation (add lines 7 through 12)7,300,495,786 [7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)301,010,670 [7190]15.Management Target Amount for Excess funds in segregation150,000,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount151,010,670 [7198]			<u>0</u> [7170]
13.Total amount in segregation (add lines 7 through 12)7,300,495,786[7180]14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)301,010,670[7190]15.Management Target Amount for Excess funds in segregation150,000,000[7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount151,010,670[7198]	10		0 [7450]
14.Excess (deficiency) funds in segregation (subtract line 6 from line 13)301,010,670 [7190]15.Management Target Amount for Excess funds in segregation150,000,000 [7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount151,010,670 [7198]			
15.Management Target Amount for Excess funds in segregation150,000,000[7194]16.Excess (deficiency) funds in segregation over (under) Management Target Amount151,010,670[7198]			
16. Excess (deficiency) funds in segregation over (under) Management Target Amount [151,010,670 [7198]			
			<u></u> []

INITIAL End Date:1/19/2024 Firm Name:UBS Securities LLC Form:Daily Seg - FOCUS II Submit Date:1/22/2024 Daily Segregation - Swaps Statement

	STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND	
	FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA	
4	Cleared Swaps Customer Requirements	
1.	Net ledger balance	
	A. Cash	<u>781,263,028</u> [8500]
	B. Securities (at market)	<u>416,599,105</u> [8510]
2.	Net unrealized profit (loss) in open cleared swaps	<u>-42,263,700</u> [8520]
3.	Cleared swaps options	
	A. Market value of open cleared swaps option contracts purchased	<u>0</u> [8530]
	B. Market value of open cleared swaps option contracts granted (sold)	<u>0</u> [8540]
4.	Net Equity (deficit) (add lines 1, 2, and 3)	<u>1,155,598,433</u> [8550]
5.	Accounts liquidating to a deficit and accounts with	
	debit balances - gross amount	<u>3,133,211</u> [8560]
	Less: amount offset by customer owned securities	<u>-3,066,993</u> [8570] <u>66,218</u> [8580]
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	<u>1,155,664,651</u> [8590]
	Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks	
	A. Cash	<u>166,442,425</u> [8600]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8610]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	209,061,355 [8620]
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer	<u> </u>
	segregated accounts	
	A. Cash	<u>762,104,801</u> [8630]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8640]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>207,537,750</u> [8650]
9.	Net settlement from (to) derivatives clearing organizations	<u>35,703,080</u> [8660]
10.	Cleared swaps options	<u></u> []
	A. Value of open cleared swaps long option contracts	<u>0</u> [8670]
	B. Value of open cleared swaps short option contracts	<u>0</u> [8680]
11.	Net equities with other FCMs	<u>•</u> [0000]
	A. Net liquidating equity	<u>0</u> [8690]
	B. Securities representing investments of cleared swaps customers' funds (at market)	<u>0</u> [8700]
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>o</u> [8710]
12.	Cleared swaps customer funds on hand	
	A. Cash	0
	B. Securities representing investments of cleared swaps customers' funds (at market)	-
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	<u>0</u> 0.0 (8715)
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	<u>0</u>
14.	Excess (deficiency) funds in cleared swaps customer segregation (add lines 7 through 12)	
	line 13)	<b>225,184,760</b> [8730]
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts	<u>125,000,000</u> [8760]
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under)	<u>100,184,760</u> [8770]
	Management	