

歐洲股東權利原則 - 您需要知道的資訊

European Shareholders Rights Directive – what you need to know

敬愛的客戶，

Dear Client,

自2020年9月起，歐洲股東權利原則(European Shareholders Rights Directive II，下稱「EU SRD II」)及各國家據此修訂之規定即將開始施行。EU SRD II 之目的係進一步加強您作為位於歐盟的上市及公開發行公司(「公司」)股東(包括最終股東)之權利。其旨在強化該等公司之股東參與，並促進跨境資訊提供及行使股東權利。

From September 2020, the European Shareholders Directive (EU SRD II) and the resulting new requirements will apply. The directive is intended to further strengthen your rights as a shareholder (including an ultimate shareholder) of EU-based and listed public limited companies (Companies or Company). It aims to improve shareholder participation in these Companies and to facilitate cross-border information and the exercise of shareholder rights.

EU SRD II包括下述主要條款：

The directive includes the following key provisions:

- 歐洲公開發行公司有權跨境確認其股東。因此，瑞士銀行有義務於該等公司要求時，提供包括股東姓名及地址之個人資訊。
European public limited companies have the right to identify their shareholders across borders. For this reason, we are obliged to provide personal information, such as the name and address of shareholders, to the Companies upon request.
- 提供公司相關資訊予股東之義務，股東得據此行使其股東權。
The obligation to provide information about the Company to shareholders, which shareholders may exercise their rights under the shares.
- 提供您透過瑞士銀行持有股份之公司即將召開股東會相關資訊之義務，並協助您行使投票權。
The obligation to provide you with information about upcoming general meetings of Companies whose shares you hold through UBS and to facilitate the exercise of voting rights.

鑒於上開規定，本行將告知您即將召開之相關公司股東會，並於接獲您以股東身分所為之明示授權及指示時，協助您以公司規定之方式提交投票指示。

Against this background, we will inform you of upcoming general meetings and support you with the submission of voting instructions upon your explicit authorization and instruction as a shareholder in the manner required by the Company.

如您有任何問題，敬請聯繫您的財富管理顧問。

Should you have any questions, please contact your client advisor.

謹此，並頌
商祈

Kind regards,

瑞士銀行台北分行
UBS AG, Taipei Branch